

Results of Brief Enforcement Hearings – July 30, 2001
Results of Enforcement Before the Full Commission – July 24, 2001

Note: Brief Enforcement Hearings are heard by a Single Commissioner, normally the Chair of the Commission. Brief enforcement hearings are held when the facts are undisputed, the violations appear to be relatively minor in nature, and a penalty no greater than \$500 will be assessed for the violations. A respondent may appeal the results of a brief enforcement hearing by asking the full Commission to review the findings of the Single Commissioner.

Brief Enforcement Hearings – July 30, 2001

1. **Charles Namit** – Case #01-031

Results: The Respondent was found to have violated RCW 42.17.241 by failing to include a bank account set up for his dependent daughter on his Personal Financial Affairs Statement (PDC form F-1) for the years 1995 through 1999. It was also found that Mr. Namit failed to list an investment account on his F-1 for 1998 and 1999.

No Penalty was assessed.

2. **Progressive Animal Welfare Society (PAWS)** – Case #01-230

Results: The Respondent was found to have violated RCW 42.17.100 by failing to timely file a report of Independent Expenditures (PDC form C-6) for reportable activities during calendar year 2000.

No Penalty was assessed.

Brief enforcement hearings were held for 90 Respondents who PDC staff alleged had failed to timely file the annual Personal Financial Affairs Statement (PDC form F-1) that was due by April 16, 2001. The results of the brief enforcement hearings for the 90 Respondents, which included referring seven cases to the full Commission, are included on a separate spreadsheet.

Enforcement Hearings Before the Full Commission – July 24, 2001

1. **Amalgamated Transit Union Local 587 and Amalgamated Transit Union Local 587 No on I-745 Committee** – Case #01-219

Results: The Commission accepted a Stipulation of Facts, Violations and Penalty and ordered that the Respondent committed multiple unintentional violations of RCW 42.17.040 – RCW 42.17.090 by soliciting and accepting contributions from its members with the expectation of making expenditures opposing a ballot measure without registering and reporting as a political committee.

Assessed Penalty: In accepting the Stipulation of Facts, Violations and Penalty, the Commission assessed a total civil penalty of \$10,000 with \$7,500 suspended on the following conditions: (1) No further violations of RCW 42.17 or WAC 390

for four years; (2) Develop a protocol for communicating with the employer (King County) regarding future assessments; (3) Design and conduct training on the provisions of RCW 42.17 for all Union officers and staff engaged in implementing special assessments; (4) Send a letter to each person who had funds withheld in the special assessment, providing an opportunity for obtaining a rebate.

Requests for Reconsideration of Final Orders

1. **Patrick Mullen** – Case #00-640, initially found to have violated RCW 42.17.050 and 42.17.240 and assessed a penalty of \$500. Initial order became a final order.
Results: The Commission vacated the final order.
2. **John Grover** – Case #00-461, initially found to have violated RCW 42.17.050 and assessed a penalty of \$500. Initial order became a final order.
Results: The Commission vacated the final order.